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PATENT

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: HEINEKE et al.

Application: FIBER OPTIC TRANSCEIVER ARRAY AND FIBER OPTIC
TRANSCEIVER CHANNEL FOR SHORT WAVE FIBER OPTIC
COMMUNICATIONS

Serial No. 09/995,108

Filing date: November 27, 2001

Art Unit:

Examiner:

Case: ROC920010259US1

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CERTIFICATE OF MAILING: I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed for Commissioner of Patents and Trademarks, Washington, D.C. 20231, on

January 7, 2002 *Joan Pennington*
Date of Deposit Signature

Joan Pennington

Typed/printed name of person signing

535 North Michigan Avenue
Unit 1804
Chicago, Illinois 60611

Honorable Commissioner of
Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97. Copies are enclosed. Related United States patent applications by Randolph B. Heineke et al. and assigned to the present assignee filed


Case No.: ROC920010259US1

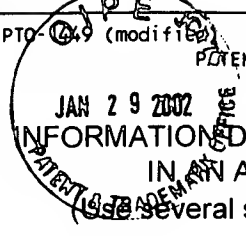
on the same day as the present patent application are listed on the attached Form PTO-1449.

In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standard of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

Applicants' attorney has reviewed the documents listed on the attached form PTO-1449 and respectfully submits that each of the claims of the subject application is patentable over all the documents listed.

Respectfully submitted,

By 
Joan Pennington
Reg. No. 30,885
Telephone: 312/670-0796

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|---|--|---|----------------------------------|
| Form PTO- 1049 (modified) | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | DOCKET NUMBER (OPTIONAL) ROC920010259US1 | APPLICATION NUMBER 09/995,108 |
|  | | APPLICANT HEINEKE et al. | |
| | | FILING DATE November 27, 2001 | GROUP ART UNIT |

U.S. PATENT DOCUMENTS

| *Examiner Initial | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|-------------------|----|-----------------|------|------|-------|----------|----------------------------|
| | AA | | | | | | |
| | AC | | | | | | |
| | AD | | | | | | |
| | AE | | | | | | |
| | AF | | | | | | |
| | AG | | | | | | |
| | AH | | | | | | |

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

| | |
|----------|--|
| AN | U.S. patent application Serial Number 09/995,107, filed November 27, 2001, by Randolph B. Heineke and David W. Siljenberg entitled "FIBER OPTIC TRANSCEIVER ARRAY FOR IMPLEMENTING TESTING" |
| AO | U.S. patent application Serial Number 09/994,229, filed November 27, 2001, by Randolph B. Heineke and David John Orser entitled "DETECTOR FOR SHORT WAVE FIBER OPTIC COMMUNICATIONS WITH COMPENSATION TO REDUCE DETECTOR JITTER" |
| AP | |
| AQ | |
| AR | |
| AS | |
| AT | |
| EXAMINER | DATE CONSIDERED |

*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.